

FACTS**WHAT DOES CUVANTIS WEALTH PLANNING, LLC DO WITH YOUR PERSONAL INFORMATION?****Why?**

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

What?

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security Number and Income
- Investment Experience and Assets
- Employment Information and Risk Tolerance

When you are *no longer* our customer, we continue to share your information as described in this notice.

How?

All financial companies need to share **customers'** personal information to run their everyday business. In the section below, we list the reasons financial companies can share their **customers'** personal information; the reasons **CuVantis Wealth Planning, LLC** chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does CuVantis Wealth Planning share?	Can you limit this sharing?
For our everyday business purposes – such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	YES	NO
For our credit union partners who have contracted with CuVantis to offer advisory services. We will share information about your accounts and activity with the credit union through which you accessed the CuVantis service.	YES	NO
For our marketing purposes – to offer our products and services to you	NO	We don't share.
For joint marketing with other financial companies	NO	We don't share.
For our affiliates' everyday business purposes – information about your transactions and experiences	NO	We don't share.
For our affiliates' everyday business purposes – information about your creditworthiness	NO	We don't share.
For nonaffiliates to market to you	NO	We don't share.

Questions?

Call 1 (619) 535-7680

www.cuvantis.com

Who we are

Who is providing this notice?

CuVantis Wealth Planning, LLC

What we do

How does CuVantis Wealth Planning, LLC protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

We maintain safeguards that comply with federal standards to protect nonpublic personal information of our customers, including procedures to assure appropriate access to, and use of, information about our customers.

How does CuVantis Wealth Planning, LLC collect my personal information?

We collect your personal information, for example, when you

- **Seek advice about your investments** or **Enter into an investment advisory contract**
- **Show your driver's license/passport** or **Give us your contact information**
- **Tell us about your investment or retirement portfolio**

We also collect your personal information from others, such as credit bureaus, affiliates, or other companies.

Why can't I limit all sharing?

Federal law gives you the right to limit only

- sharing for affiliates' everyday business purposes-information about your creditworthiness
- affiliates from using your information to market to you
- sharing for nonaffiliates to market to you

State laws and individual companies may give you additional rights to limit sharing.

Definitions

Affiliates

Companies related by common ownership or control. They can be financial and nonfinancial companies.

- ***CuVantis Wealth Planning, LLC currently has no affiliates***

Nonaffiliates

Companies not related by common ownership or control. They can be financial and nonfinancial companies.

- ***CuVantis Wealth Planning, LLC does not share with nonaffiliates so they can market to you***

Joint Marketing

A formal agreement between nonaffiliated financial companies that together market financial products or services to you.

- ***CuVantis Wealth Planning, LLC doesn't jointly market***

Other important information